

# Contrasting Effects of Selection on Sequence Diversity and Linkage Disequilibrium at Two Phytoene Synthase Loci<sup>W</sup>

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**We investigated the effects of human selection for yellow endosperm color, representing increased carotenoid content, on two maize genes, the *Y1* phytoene synthase and *PSY2*, a putative second phytoene synthase. Multiple polymorphic sites were identified at *Y1* and *PSY2* in 75 white and yellow maize inbred lines. Many polymorphic sites showed strong association with the endosperm color phenotype at *Y1*, but no detectable association was found at *PSY2*. Nucleotide diversity was equivalent for whites and yellows at *PSY2* but was 19-fold less in yellows than in whites at *Y1*, consistent with the white ancestral state of the gene. The strong sequence haplotype conservation within yellows at *Y1* and a significant, negative Tajima's *D* both verified positive selection for yellow endosperm. We propose that two independent gain-of-function events associated with insertions into the promoter of the *Y1* gene and upregulation of expression in endosperm have been incorporated into yellow maize.**

## INTRODUCTION

Selection is a major force affecting local levels of genetic variation in a species, and domestication is a form of selection. Maize is believed to have been domesticated from teosinte ~10,000 years ago (Eyre-Walker et al., 1998; Wang et al., 1999; White and Doebley, 1999; Buckler et al., 2001). The observed morphological differences between maize and teosinte are the result of selection at five major genetic regions (Doebley et al., 1990), one of which is *teosinte branched1* (*tb1*), and many minor regions. Diversity analyses at the *tb1* gene (Wang et al., 1999) revealed a partial selective sweep in the promoter region of maize *tb1*, characterized by reduced diversity and strong allelic associations, suggesting a regulatory mutation as the target of selection.

Other genes not involved in the domestication process are likely to have undergone selective sweeps as a result of selection in the recent history of maize. The *su1* locus showed significant linkage disequilibrium (LD) extending over a distance of 7000 bp, in contrast to a distance of 2000 bp or less observed in other maize genes (Remington et al., 2001). This larger extent of LD was attributed to recent selection for sugar and starch levels in the kernel. Other examples of positive selection affecting local levels of variation have been reported in maize (Tenailon et al., 2001; Thornsberry et al., 2001; Vigouroux et al., 2002b; Whitt et al., 2002; Zhang et al., 2002), *Arabidopsis* (Kawabe et al., 2000; Le Corre et al., 2002), *Drosophila* (Benassi et al., 1999; Depaulis

et al., 1999; Rozas et al., 2001; Harr et al., 2002), rice (Olsen and Purugganan, 2002), and human (Gilad et al., 2002).

The yellow/orange endosperm phenotype (referred to hereafter as yellow) has been a target of breeding selection since the early 20th century, when the nutritional advantage of increased carotenoids in yellow maize was recognized (Mangelsdorf and Fraps, 1931). The gene product is phytoene synthase (Buckner et al., 1996), the enzyme that converts geranylgeranylpyrophosphate to phytoene, an essential enzyme in the carotenoid biosynthetic pathway. In the presence of the *Y1* gene product, carotenoids are produced in the endosperm tissue, yielding the yellow endosperm phenotype; in its absence, carotenoids cannot be synthesized, resulting in a lack of color, or white endosperm. Both yellow and white phenotypes of maize have been targets of selection, the former as a result of nutritional content and the latter as a result of the preference for white maize products in some human cultures (reviewed by Poneleit, 2001). The proposed ancestor of maize, teosinte, has white endosperm (J. Doebley, personal communication); thus, white is hypothesized to be the ancestral state of the gene. The yellow endosperm phenotype is thought to have originated as a naturally occurring variant. There is no known fitness advantage to carotenoids in the endosperm, and the current prevalence of yellow phenotypes is entirely the result of artificial selection that occurred in the past century.

The *Y1* gene was cloned by transposon tagging (Buckner et al., 1996) and mapped to chromosome 6. One allelic form is expressed in leaves, embryo, and endosperm, whereas the other is expressed in leaves and embryo only (Buckner et al., 1996). Other phytoene synthase genes are predicted to exist in the maize genome (Buckner et al., 1996). We have identified a second gene, *PSY2* (*PHYTOENE SYNTHASE2*), with significant protein similarity to the *Y1* phytoene synthase. *PSY2* shows high mRNA levels in leaves but appears to have little if any influence on the level of carotenoids in the endosperm tissue (our

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unpublished observations). Therefore, *PSY2* should not have been subjected to the same human selection as the *Y1* gene and is an appropriate control in studies of the effects of selection on the *Y1* gene.

The goals of this study were to compare genetic diversity and LD at the *Y1* yellow endosperm gene and the *PSY2* gene, to search for evidence of positive directional selection, to identify the causal sequence variant that determines the yellow phenotype, and to assess the usefulness of candidate gene-based association studies in identifying genes associated with traits subject to selection. To this end, the *Y1* gene was sequenced from 41 yellow or orange endosperm lines and 34 white endosperm lines. Portions of the *PSY2* gene also were sequenced using the same test set of lines.

## RESULTS

### Nucleotide Variation and Selection at *Y1*

The maize *Y1* gene was sequenced from a set of 75 maize inbred lines that were selected to maximize genetic diversity; this set included 3 orange endosperm public lines, 23 yellow endosperm public lines, 15 yellow endosperm private lines, 22 white endosperm public lines, and 12 white endosperm private lines (Table 1). The seven analyzed regions covered 4512 bp of the 5995 bp, or 75% of the *Y1* GenBank reference sequence, including all coding regions. The sequenced regions of the *Y1* gene contain 32 insertions/deletions (indels) of varying sizes, 85 noncoding single nucleotide polymorphisms (SNPs), and 21 coding SNPs (for a complete alignment of polymorphic positions, see supplemental data online). Fourteen of the indels and 52 of the noncoding SNPs are defined here as informative for LD analysis, or having a rare allele frequency of  $>0.1$ . Of the 21 coding SNPs, 17 are informative and 10 produce amino acid changes (see supplemental data online). In addition, two microsatellite regions exist within the *Y1* gene. A CCA repeat is located just before the most common transcriptional start site (Buckner et al., 1996; Phelps et al., 1996) at positions 1877 to 1909 of the *Y1* GenBank reference sequence, and the number of repeats observed in the maize inbred lines varies from 5 to 16. Some of the white endosperm lines have a (CCA)<sub>4</sub>TCACCA compound repeat. Several short simple sequence repeats also can be identified in the immediate vicinity of the CCA repeat: a TGC repeat at positions 1838 to 1843 and a TCTCA repeat at positions 1865 to 1874. A mononucleotide T repeat is present in *Y1* at positions 2717 to 2728 of the published sequence within the second intron. This repeat varies from 8 to 13, with three of the lines, PI583846, W17, and W21, having 11 T mononucleotide repeats with A in the second position. Both the CCA and the T mononucleotide repeats have partially overlapping length distributions for the two phenotypic classes.

The calculated measure of diversity,  $\pi$ , for the entire test set is  $8.4 \times 10^{-3}$ . However, the yellow endosperm lines have a  $\pi$  value of  $0.54 \times 10^{-3}$  and white endosperm lines have a  $\pi$  value of  $10.2 \times 10^{-3}$  (Table 2). Based on this measure of diversity, white endosperm lines are 19-fold more diverse than yellow endosperm lines. The value of Watterson's estimator,  $\theta_w$ , for white endosperm lines is  $7.0 \times 10^{-3}$ , which is four times greater than

the value for yellow endosperm lines,  $1.7 \times 10^{-3}$  (Table 2). The low diversity observed in the yellow endosperm lines is consistent with a strongly significant ( $P < 0.001$ ) negative Tajima's D value ( $-2.4$ ), indicating a preponderance of rare polymorphisms and a strong departure from neutrality. By contrast, the diversity of simple sequence repeat (SSR) length variants does not show dramatic differences between yellows and whites. Heterozygosity values are 0.70 (yellows) and 0.67 (whites) for the CCA repeat at nucleotides 1877 to 1909 and 0.54 (yellows) and 0.77 (whites) for the T repeat at nucleotides 2717 to 2728. However, the length variant distributions of both the CCA repeat and the T repeat are significantly different (Kolmogorov-Smirnov goodness-of-fit test,  $P < 0.0001$ ).

### *Y1* SNP Haplotype Graphs

SNP haplotype graphs and the extended haplotype homozygosity (EHH) measure were used to visualize the effects of selection in the region of the *Y1* gene. The EHH and haplotype bifurcation method, recently described in human (Sabeti et al., 2002), begins with the identification of core SNP haplotypes. For *Y1*, we chose to define the core region by the only two SNP polymorphisms completely associated with the endosperm color phenotype, nucleotides 2047 and 2101, both of which are located in the first exon and produce silent mutations. The decay of LD (and increase in diversity) is followed by evaluating informative SNPs encountered at increasing distances from the core; this is quantitated as extended haplotype homozygosity (EHH; see Methods). Each newly encountered SNP results in bifurcation of the respective haplotype. Thus, the yellow endosperm lines have a single haplotype in the defined core that remains conserved toward the 5' end of the gene (Figure 1A). However, toward the 3' end, the single haplotype splits, culminating in two putative recombinant lines, PI221788 and Y-7 (Figure 1, asterisks), a rare haplotype with Inbred Lo32, and two more common haplotypes shared by the remaining lines. The yellow endosperm lines have a single haplotype at the core and thus a starting EHH value of 1.0 that only declines toward the 3' end, to 66% of the core EHH value (Figure 2). By contrast, the white endosperm lines have three haplotypes at the core (Figure 1B), one of which is a singleton, thereby yielding a starting EHH value of 0.476. The EHH value for whites declines to 25% of its starting value on the 5' side and 10% on the 3' side. (Figure 2). For both phenotypic classes, the bifurcation of haplotypes occurs more rapidly at the 3' end than at the 5' end of the gene; however, the disintegration of the core haplotypes is much greater for white endosperm lines than for yellow endosperm lines.

### Variability within *Y1* Yellow Endosperm Lines

Buckner et al. (1996) compared two yellow inbred lines, B73 and Q60, and found only one allelic difference, at position 4657. B73 has a C, whereas Q60 has an A, resulting in an amino acid change from Thr (ACT) to Asn (AAT). In addition, Buckner et al. (1996) identified a 345-bp *Tourist* element at position 5085/5087 within the 3' untranslated region of B73 only. This element, presumably brought in by recombination, has a

**Table 1.** Germplasm List

Lines	Features
Public orange inbred lines	
1033-A Catsul	Inbred from Uruguay
PI186217	Argentinian inbred line
PI270297	41:2504B Amargo inbred line; Argentina
Public yellow inbred lines	
66A4-2	Howe's Early Alberta, very early open-pollinated variety
Ames 12734	Indian chief; Huffmann variety × Illinois low ear
Ames 23427	Reid Yellow dent
Ames 23476	[(V3 × Wf9)S1 Wf9]
Ames 24575	Yellow pearl popcorn inbred
B73	Reid; Iowa Stiff Stalk Synthetic
H60	Pride of Saline; Wooster Clarage; Lancaster; NSS
Inbred Lo32	Italian inbred
Mo17	Lancaster surecrop; Krug
PI221785	South African inbred
PI221788	South African inbred
PI340837	Selection from Japanese hull-less white
PI542777	South American popcorn inbred
PI583350	Guatemalan inbred
PI583351	Brazilian inbred
PI583352	Ecuadorian inbred
PI587132	Unknown
PI593015	Hi34 inbred with Antigua 2D parentage
PI595553	Yellow flint materials from Mexico, Cuba, Dominican Republic, South America, St. Vincent, Guatemala, Surinam, and India
PI595554	Materials from Mexico, Central America, Caribbean, Ecuador, Colombia, and Argentina
PI595559	Tuxpeno, ETO Amarillo, Caribbean, and Brazilian germplasm; downy mildew resistance incorporation from Thailand and The Philippines
PI595562	Materials from Mexico, Cuba, Dominican Republic, Antigua, South America, India, Puerto Rico, and Central America
PI595566	CIMMYT Syn Amarillo TSR
SC60	Gourd seed; U.S. southern dent
Private yellow inbred lines	
Y-1	Southern United States 3
Y-2	SSS B14 derived
Y-3	Central cornbelt SSS/NSS mix
Y-4	Tropical 4
Y-5	Early cornbelt public C0109
Y-6	Cornbelt NSS mix
Y-7	Southern United States 2
Y-8	European flint (F2)
Y-9	NSS cornbelt IODENT/OH43
Y-10	Tropical 3
Y-11	NSS cornbelt
Y-12	Tropical 1
Y-13	Tropical 2
Y-14	Southern United States 1
Public white inbred lines	
Ames 22026	Narrow Grain Evergreen white sweetcorn inbred
Ames 22443	Silver King; Northwest dent
Ames 22754	Reid Yellow dent
Ames 22758	Neal paymaster; Snelling stiff stalk
Ames 23418	Black Mexican; Spanish gold; Purdue yellow dent
Clze 127	Boone county white; White Mastodon; Tuxpan
NC296	Jamaican hybrid; Cuban flint; Tuxpeno (acquired from M.M. Goodman)
PI221733	Potchefstroom Pearl
PI221738	Hickory King
PI340836	Japanese hull-less popcorn inbred

Continued

**Table 1.** (continued).

Lines	Features
Public white inbred lines	
PI340838	White rice popcorn inbred
PI406108	Lancaster surecrop
PI550442	Open-pollinated variety Laguna (U.S. Department of Agriculture corn from Mexico)
PI550545	Inbred from Nepal
PI561605	Syn A1/87014 from Cameroon
PI583846	Mo17 white composite
PI595531	Tuxpeno germplasm from Mexico; also Central American and Colombian materials
PI595532	Crosses among Mexican, Colombian, Caribbean, Central American, Indian, Thai, and Filipino lines
PI595539	Tuxpeno germplasm from Mexico; some Central American materials
PI595546	~30% downy mildew resistance from The Philippines; also from Argentina, Colombia, Cuba, Ecuador, El Salvador, Honduras, India, Mexico, and the United States
PI595547	Tuxpeno, Cuban flints, and ETO (from Central and South America, Mexico, and the United States)
Stock 6	Minnesota #13
Private white inbred lines	
W16	Public MOSQA
W17	Public W94
W2	Public V63
W20	Public W94,V80
W21	Public Krug K174-312-B1; white Mo17 (seven doses)
W28	Unknown
W37	HI 22 W
W45	PI451693; derived from seven Cuzco populations and one early U.S. dent population
W50	Illinois High Oil
W6	Public V63
W7	Southern dent
W8	Public KY216
Other <i>Zea</i> species	
9475	<i>Z. perennis</i> ; Mexico
9476	<i>Z. diploperennis</i> ; Mexico
11083	<i>Z. luxurians</i> ; Nicaragua
11407	<i>Z. mays</i> subsp <i>parviglumis</i> ; Mexico
11396	<i>Z. mays</i> subsp <i>mexicana</i> ; Mexico
12823	<i>Z. mays</i> subsp <i>mexicana</i> ; Mexico

polyadenylation site that accounts for the different transcript sizes for B73 and Q60. In our study, comparisons of 41 yellow endosperm lines showed 7 lines with a C at position 4657 and 34 lines with an A. Five of the lines with a C at this position also have the *Tourist* element, whereas none of the lines with an A have this insertion. Of the two lines with a C at position 4657 and no insertion at 5085/5087, Inbred Lo32 demonstrates unique sequence patterns in relation to the other yellow endosperm inbred lines, whereas lines Y-7 and PI221788 show evidence of recombination at positions 4064 and 5003, respectively.

Other polymorphisms are found within the *Y1* region of yellow endosperm lines. All of the lines except Inbred Lo32 have the *Ins2* insertion at positions 1397 to 1776. A few polymorphic sites exist within the insertion, thereby dividing the yellow endosperm inbred lines, with the exception of Inbred Lo32, into two groups (Figure 3). One group contains a form of the *Ins2* insertion with a 2-bp deletion in the direct repeat defining the insertion and an A at position 1768. This group contains only the 10-repeat allele of the CCA microsatellite. The other group does not have the deletion and has a G at position 1768. Inbred Lo32 is the only yellow line without the *Ins2* insertion, but it has

a similarly sized insertion of 378 bp at the *Y1* GenBank position 448/449, ~1 kb upstream from the *Ins2* insertion. This insertion has no sequence similarity to the *Ins2* insertion or to any other known sequences and shows no evidence of insertion site duplication. Several other nucleotide variants in Inbred Lo32 are not present in any other lines, thus establishing its uniqueness. A few of the other lines also possess rare variations either within the *Ins2* insertion or at some position in the *Y1* region, including 66a4-2, PI595554, PI595562, Y-12, and PI587132. In addition, two lines, Y-7 and PI221788, show evidence of recombination toward the 3' end.

#### Variability within *Zea* Species

A limited survey of species in the genus *Zea* was performed in a small region of *Y1*, defined by nucleotides 1331 to 2185 of the *Y1* GenBank sequence, using the Y1-4 primer set to generate PCR products (see supplemental data online). The analyzed region contained the insertion site of *Ins2*, the CCA microsatellite, and a portion of the first coding region that included the two completely associated SNPs. Each of the lines, including *Z. luxurians* 11083, *Z. mays* subsp *mexicana* 11396, *Z. mays*

subsp *mexicana* 12823, *Z. mays* subsp *parviglumis* 11407, *Z. perennis* 9475, and *Z. diploperennis* 9476, was heterozygous at the *Y1* locus and thus yielded two haplotypes. None of the 12 haplotypes had the *Ins2* insertion. In addition, all of the haplotypes had the white allele at each of the two completely associated SNP positions. The CCA microsatellite showed some overlap of allele sizes with *Z. mays* subsp *mays*, but new alleles, including two short ones (three and four repeats), were encountered only in the other species. Inclusion of lines from the other *Zea* species also identified a few new polymorphisms; however, the haplotypes were similar to those observed in white endosperm lines (see supplemental data online). None of the haplotypes resembled the yellow haplotypes in this region.

### Phylogenetic Analysis of the *Y1* Region Containing the Promoter and the First Exon

A neighbor-joining tree was constructed for the region defined by nucleotides 1331 to 2185 of the *Y1* sequence. This particular region was selected because it contained the *Ins2* insertion site, the CCA microsatellite, and the two completely associated SNPs and also because of the additional data accumulated in this region in other *Zea* species. The results revealed a single grouping for the yellow endosperm lines, dispersal of the white endosperm lines into three groups, and an assemblage that included a majority of the haplotypes from the other *Zea* species

(Figure 3). One white endosperm line, PI406108, had the same haplotype as *Z. mays* subsp *mexicana* 12823 and grouped with the noncultivated *Zea* species. Also, a *Z. mays* subsp *parviglumis* haplotype and a *Z. mays* subsp *mexicana* haplotype both assembled with other white endosperm lines in group I (Figure 3).

### Genetic Mapping of *PSY2*

The position of *PSY2* on chromosome 8 was confirmed through mapping on the maize-oat addition lines (Ananiev et al., 1997) (supplied by E. Ananiev, Pioneer, Johnston, IA) and by hybridization of the *PSY2* EST overgo probe to a BAC contig that has been assigned to chromosome 8. *PSY2* maps in bin 7 of chromosome 8, near the public marker 8.07\_csu572 (data not shown).

### Nucleotide Polymorphism at *PSY2*

The analyzed *PSY2* sequence spans a region of ~1300 bp and has been amplified from the 75 maize lines. Two lines, Y-4 and PI595559, were heterozygous in the region and were not analyzed further. The reading frame of the *PSY2* gene was determined by comparison with the *Y1* gene. The protein sequence similarity between *PSY2* and *Y1* in the analyzed region of *PSY2* is 77%. The intron-exon junctions are conserved between *Y1* and *PSY2*, and regions spanning nucleotides 1 to 87, 222 to 457, 777 to 969, and 1101 to 1262 correspond to exons 3, 4, 5, and 6 of *Y1*, respectively. There are 40 polymorphic sites or regions in the *PSY2* sequence analyzed here. Two are highly variable microsatellite regions, one containing TCCG repeats followed by imperfect TCCA repeats and the other having a mononucleotide T repetitive region followed by a CTT repeat. Both are found within introns, and the number of repeats for each region is not associated with the endosperm color phenotype (as determined by Kolmogorov-Smirnov tests). There are 15 indels, only 9 of which have a rare allele frequency of >0.1. The remaining 24 polymorphic sites are SNPs, 7 of which are in coding sequence. Of those seven coding SNPs, three are non-informative and four are silent mutations (see supplemental data online). Of the 17 noncoding SNPs, 9 are informative.

There is no observable distinction in the levels of DNA variation at *PSY2* between the two phenotypic classes. The diversity measures,  $\pi$  and  $\theta_w$ , are equivalent for yellow and white endosperm lines (Table 2), and there is no evidence of departure from neutrality, because the Tajima's D values are not significant.

### Associations with the Endosperm Color Phenotype

Seventy-eight of the 81 informative SNP and indel polymorphisms in *Y1* are associated with endosperm color at a significance level of 0.001. Two polymorphic sites are completely associated with the phenotype: a G-to-A transition at position 2047 and a T-to-C transition at position 2101, both yielding silent mutations. In addition, two indels show strong but incomplete associations with the phenotype. One is a 14-bp insertion located in the 5' regulatory region; it is found in all but one of the white endosperm lines and in none of the yellow endosperm lines. The other, a 382-bp *Ins2* insertion (Buckner et al., 1996), also located in the 5' regulatory region, is found in all

**Table 2.** Summary of *Y1* and *PSY2* DNA Sequence Variation

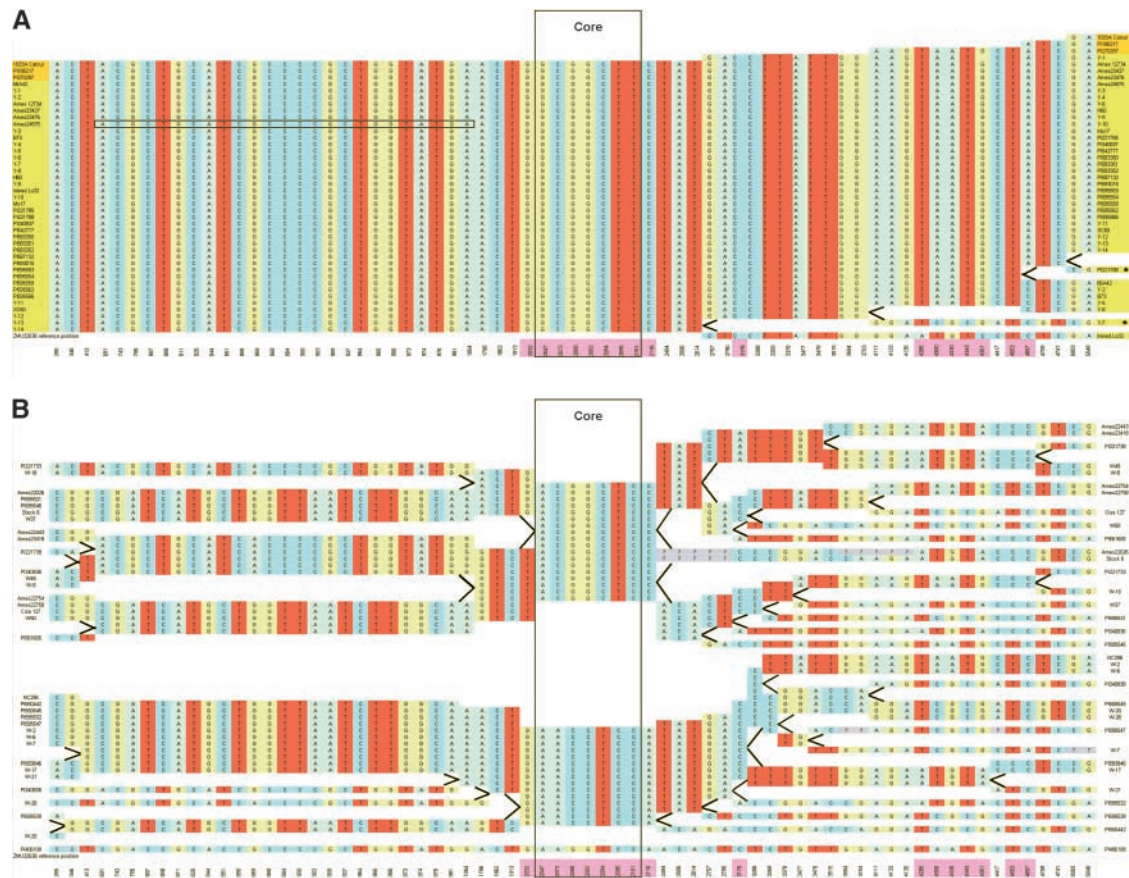
Loci	Sites	$\pi$ ( $\times 10^{-3}$ )	$\theta_w$ ( $\times 10^{-3}$ )	Tajima's D
<i>Y1</i> <sup>a</sup>				
All	Total	8.41	6.44	1.04 (NS)
	Silent	10.86	8.39	
	Nonsynonymous	2.68	1.88	
Yellow	Total	0.54	1.79	-2.39 <sup>b</sup>
	Silent	0.57	2.09	
	Nonsynonymous	0.44	0.79	
White	Total	10.19	7.14	1.60 (NS)
	Silent	13.20	9.25	
	Nonsynonymous	3.20	2.23	
<i>PSY2</i> <sup>c</sup>				
All	Total	8.49	7.75	0.30 (NS)
	Silent	11.62	10.49	
	Nonsynonymous	-	-	
Yellow	Total	7.59	6.70	0.45 (NS)
	Silent	10.52	9.23	
	Nonsynonymous	0.88	0.91	
White	Total	8.59	8.80	-0.08 (NS)
	Silent	11.90	11.87	
	Nonsynonymous	-	-	

$\pi$ , nucleotide diversity/site;  $\theta_w$ , Watterson's estimator (calculated using the no-recombination assumption); NS, not significant ( $P > 0.10$ ). Estimates are based on all nucleotide sites. Dashes indicate that the measure of diversity for that particular set of lines cannot be calculated.

<sup>a</sup>One orange/yellow endosperm line, Ames 24575, and one white endosperm line, PI595547, were excluded because of missing data.

<sup>b</sup>0.001 <  $P$  < 0.01.

<sup>c</sup>Two orange/yellow endosperm lines, Y-4 and PI595559, were excluded from analysis because of excessive missing data.



**Figure 1.** *Y1* SNP Haplotype Graphs.

The *Y1* SNP haplotype graphs depict all polymorphic SNPs with a rare allelic variant frequency of >10%. The horizontal rows correspond to the individuals used in this study, and the vertical columns represent the relevant SNP positions. The core is defined by the two completely associated SNPs located at positions 2047 and 2101 of the published *Y1* sequence; all other SNP positions are indicated relative to the published sequence (with coding SNP position numbers highlighted in pink). The graphs depict the breakdown of the core haplotypes traversing from the core toward the 5' and 3' ends (as described by Sabeti et al., 2002). The orientation for each graph is in the 5' to 3' direction.

**(A)** Yellow haplotype graph. At the core region, only one haplotype is identified. Regions outlined with boldface lines represent data that can be inferred as a result of the high conservation seen within the yellow endosperm lines.

**(B)** White haplotype graph. Gray areas indicate missing data. Data cannot be inferred for the missing white endosperm genotypes because of the high variability observed among the white endosperm lines. Three haplotypes are found at the core region.

of the yellows except Inbred Lo32 and in none of the whites. By contrast, none of the polymorphic sites in *PSY2* shows significant association with endosperm color.

#### Comparison of Intragenic LD at *Y1* and *PSY2*

Plots of the pair-wise LD measure  $r^2$  indicate that LD declines to 0.1 at a distance of 1000 bp in white endosperm lines at *Y1* (Figure 4), whereas an estimation of LD for yellow lines is not possible because of the paucity of informative SNP sites. When white and yellow germplasm are analyzed together, LD declines to  $r^2 = 0.1$  at ~2000 bp (Figure 4). This extended level of LD can be attributed to selection in the yellow germplasm.

Although the sequence length analyzed at the *PSY2* gene is threefold to fourfold less than that analyzed at the *Y1* gene, the length is sufficient to observe  $r^2$  levels decreasing rapidly within

250 bp (Figure 4). Separation of the data set into white and yellow phenotypic classes yields similar LD graphs for the *PSY2* gene.

#### Comparison of LD between Private and Public Lines at *Y1*

An ancillary LD analysis was performed in the *Y1* region to assess differences in LD between public and private lines. Average levels of LD, as measured by  $r^2$ , were calculated over 1-kb intervals for both the public and private sets, irrespective of phenotypic classification. The first 1-kb interval showed an almost twofold difference between private and public lines, with private lines showing higher averages of  $r^2$ . The differences in LD between public and private lines declined steadily with increasing distance until the 3- to 4-kb region, in which  $r^2$  values for the public lines were 1.4-fold greater than those in the private lines. This trend continued into the next interval.

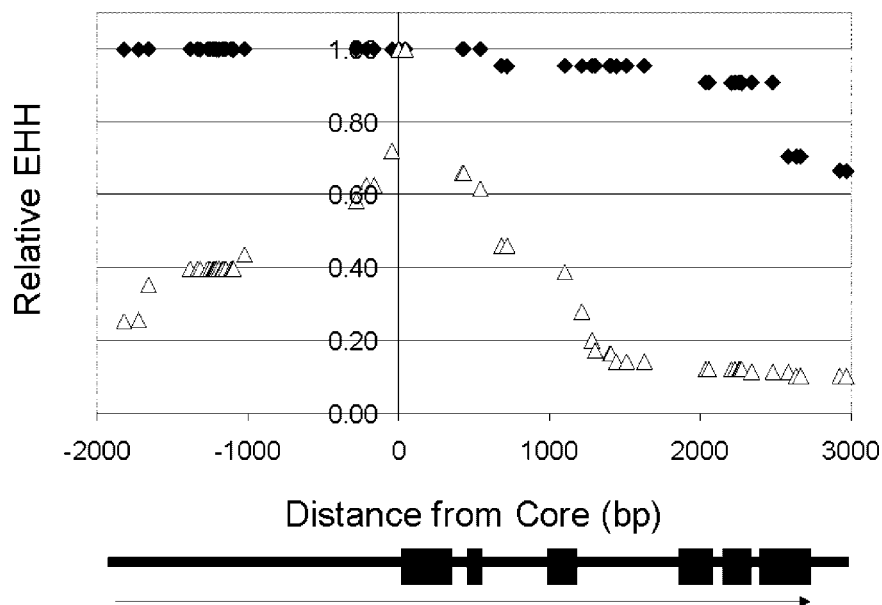
## DISCUSSION

Two closely related genes, the *Y1* phytoene synthase and *PSY2*, a putative second phytoene synthase gene, were chosen to study the effects of breeding selection on genetic diversity and LD in maize. Both of these genes could be considered candidate genes for the endosperm color trait, by virtue of their homology with phytoene synthase, if the gene(s) controlling the trait was not identified previously. Therefore, the *Y1/PSY2* comparison represents a good case for assessing the usefulness of candidate gene-based association studies or selective sweep approaches to identify genes associated with traits subject to selection.

Sequence analyses clearly differentiate the *Y1* and *PSY2* genes. A large number of SNP and indel polymorphisms at *Y1* were associated significantly with phenotype, whereas no polymorphic sites at the *PSY2* gene showed an association. Admittedly, if population structure effects were taken into consideration, some of the associations at *Y1* may not have been significant; however, the utility of *PSY2* in this study is meant to control for these effects. The nucleotide diversity ( $\pi$ ) values shown by *PSY2* and *Y1* for the entire test set are nearly equivalent and intermediate in relation to diversity values observed in other maize genes (White and Doebley, 1999). However, the patterns of polymorphism with respect to phenotype differ greatly between the two genes (a  $\chi^2$  contingency test using numbers of parsimony informative polymorphic sites normal-

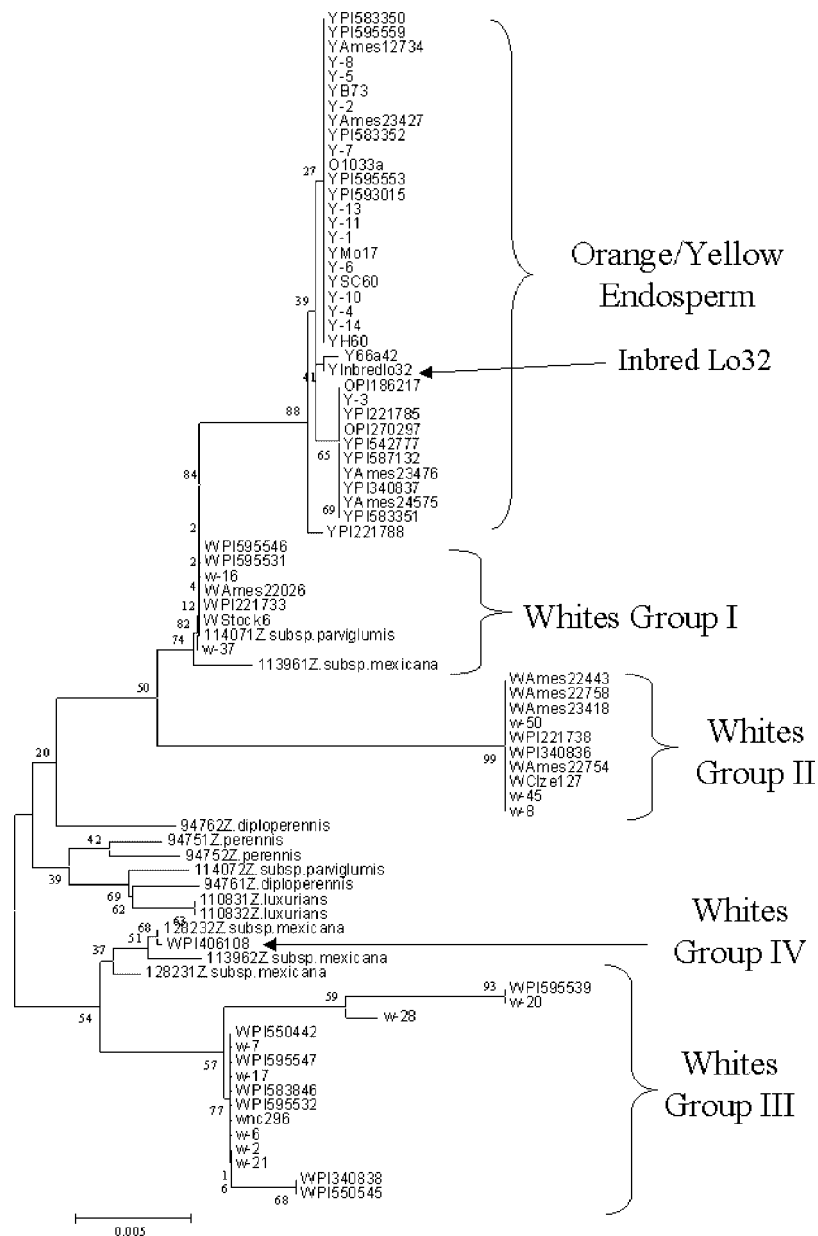
ized for length proved that the patterns were significantly different at  $P = 0.004$ ). The equivalence in genetic diversity between the two endosperm phenotype classes at *PSY2* suggests that selection does not act on this gene, whereas the 19-fold difference in nucleotide diversity ( $\pi$ ) between the yellow and white maize genotypes at *Y1* suggests its involvement in the trait. Reduced diversity within the yellow lines at *Y1* is accompanied by an excess of rare alleles (Tajima  $D = -2.4$ ), as expected for recovery from a selective sweep (for a review of measures of selection, see Kreitman, 2000). Phylogenetic analysis of the *Y1* promoter and exon 1 (Figure 3) provides even more evidence of selection for the yellow endosperm phenotype and the ancestral nature of the white endosperm allele.

Sequence results from *tb1*, a putative domestication gene, show a 61-fold reduction of diversity ( $\pi$ ) in maize relative to *Z. mays* subsp *parviglumis* in the 5' regulatory region and a 35-fold reduction of  $\theta_w$  (Wang et al., 1999). However, within the transcriptional unit of *tb1*, the difference in diversity between maize and *Z. mays* subsp *parviglumis* is much less, with  $\pi$  and  $\theta_w$  values both approximately threefold greater in *Z. mays* subsp *parviglumis*. Within the promoter region of *Y1*, yellow maize shows close to zero diversity, in contrast to white lines, which have average levels of diversity ( $\pi = 16.4 \times 10^{-3}$ ). Within the transcriptional unit of *Y1*, the ratio of diversity between whites and yellows is  $11 \times$  ( $\pi = 7.3 \times 10^{-3}$  versus  $\pi = 0.67 \times 10^{-3}$ ) and  $2.5 \times$  ( $\theta_w = 5.5 \times 10^{-3}$  versus  $\theta_w = 2.2 \times 10^{-3}$ ), approximately equivalent to the difference between maize and *Z.*



**Figure 2.** *Y1* Relative EHH Graph.

The SNP positions delineating the core at the *Y1* gene are *Y1* GenBank positions 2047 and 2101. Therefore, the 0-value point on the graph is set between the two positions, at 2074. All distances are calculated from this position. EHH is calculated for each of the SNPs used in the *Y1* SNP haplotype graphs. The y values shown are the ratios of the EHH of the SNP at that particular distance from the core to the initial EHH value that is specific for each respective phenotype (Sabeti et al., 2002); this is referred to as the relative EHH. Diamonds indicate data obtained from the yellow endosperm test set, and triangles refer to the white endosperm set. The starting EHH value at the core for the yellow endosperm lines is 1.00, whereas the starting EHH value for the white endosperm lines is 0.476. The scheme below the graph shows the x axis position relative to the *Y1* gene; blocks indicate exons.



**Figure 3.** Neighbor-Joining Tree of *Y1* Sequences.

Sequences in the region defined by GenBank positions 1331 to 2185 obtained from all *Z. mays* inbred lines and exotic lines were constructed using the Kimura two-parameter model. Bootstrap values are shown as percentages over 1000 replicates. Maize inbred lines are denoted with W, Y, or O before the name, indicating the phenotype white, yellow, or orange, respectively.

*mays* subsp. *parviglumis* at *tb1*. Thus, *Y1* shows a similar selective sweep pattern in the 5' regulatory region, as observed with *tb1*, but somewhat less rapid recovery from the selective sweep in the transcribed region.

Increased LD is another expected effect of selection. As a result of the high haplotype conservation within yellow lines, the measurement of LD in yellow lines only is not possible, whereas the decline in LD within white endosperm lines is quite rapid (Figure 4). For the entire set of maize inbred lines, observations

of the  $r^2$  measure of LD at *Y1* are similar to previous observations at other maize genes (Remington et al., 2001), with  $r^2$  declining to 0.1 at a distance of  $\sim 2000$  bp. Although averages of  $r^2$  over the 1000- to 4000-bp range indicate that LD is approximately threefold greater in the entire test set than in the white endosperm lines (*Y1* all  $r^2$  average = 0.172 versus *Y1* white  $r^2$  average = 0.058), we expected the influence of the yellow lines in the entire test set to be much greater in terms of the level and extent of LD. Both the EHH measure and unpublished

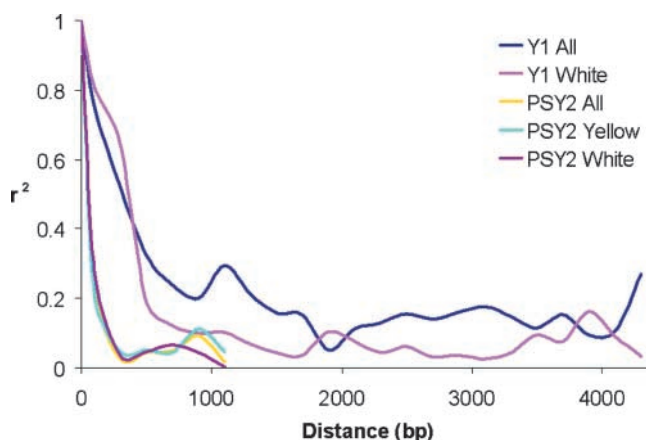


long-range observations of haplotype patterns surrounding *Y1* indicate that the selective sweep exhibited by the yellow endosperm germplasm persists for a much longer distance than the  $r^2$  measure indicates. These observations demonstrate the limitations of this LD measure for the identification of long-distance LD and raise the question of what constitutes useful levels of LD for qualitative traits. LD at *PSY2* declines rapidly, as expected, within  $\sim 100$  to 200 bp, a result comparable to previously reported data for chromosome 1 (Tenaillon et al., 2001). The difference in LD between the *Y1* and *PSY2* genes may be attributable to the difference in the genomic locations of these two genes, rather than to a specific effect of selection. However, the patterns of diversity and association, in addition to the LD information, clearly select the *Y1* gene over *PSY2* in a candidate gene-based approach designed to identify the gene(s) associated with carotenoids in the endosperm.

Because private maize inbred lines may have experienced an additional bottleneck not related to domestication in their population history, the possibility that these lines may have introduced an increased LD effect as a result of this bottleneck cannot be excluded completely. However, the analysis of LD for public versus private maize inbred lines at *Y1* did not indicate a significant difference between public and private average  $r^2$  values in the *Y1* region.

Identification of the phenotype-determining sequence variant is the ultimate goal of association studies. The reduced diversity within the yellow endosperm lines at *Y1* suggests that yellow endosperm is a gain-of-function mutation resulting from the up-regulation of *Y1* gene expression in the endosperm (Buckner et al., 1996). However, the causative sequence variant is unclear because of the presence of multiple associations with phenotype that are either complete or highly significant. Two polymorphisms within the analyzed regions of *Y1* were associated completely with phenotype. Both are coding SNPs located in exon 1 at positions 2047 and 2101, and both are silent mutations. White maize has the same allele as the other tested *Zea* species at these two positions. Whether or not the two silent coding SNPs are causative, their locations are indicative of the region containing the causal variant. Because the two SNPs are in close proximity to the regulatory region and the core haplotype for the yellow endosperm lines does not bifurcate toward the 5' end, the regulatory region is the probable site of a causative variant (Figure 1A). The variant also may be unidentified, lying either farther upstream or in an unanalyzed region of the *Y1* gene.

The four variants within the *Y1* gene that show the strongest associations with the phenotype are the two coding SNPs, a small 14-bp insertion found in all but one of the whites and none of the yellows (between nucleotides 697 and 698), and the *Ins2* insertion (nucleotides 1397 to 1776). The possibility that the two silent coding SNPs affect mRNA stability is not excluded; however, it should be a tissue-specific effect, because no effect on the carotenoid levels in other tissues has been reported. The 14-bp deletion in the promoter of yellow lines also may affect its function in the endosperm. However, in our opinion, the most likely scenario involves the *Ins2* insertion. The 382-bp mobile element, discovered previously in the *c1* gene (Paz-Ares et al., 1987), the *bronze* upstream region (Ralston et al., 1988), and the region between *a1* and *sh2* on chromosome



**Figure 4.** Measurement of LD for Both Phytoene Synthase Loci.

Measurement was performed by averaging  $r^2$  values over a distance of 200 bp and plotting the values against distance (bp). The *Y1* yellow test set was not included in the graph because of the nearly complete lack of data points in the *Y1* region.

3 (Yao et al., 2002), is present in all but one of the yellow lines and is absent from all of the white lines. Buckner et al. (1996) reported that not all yellows analyzed in their unpublished study had the *Ins2* insertion; however, the only yellow endosperm line in our study without the *Ins2* insertion had an insertion of almost identical size (378 bp) 1 kb upstream from the *Ins2* insertion site. This finding suggests that insertions in the 5' regulatory region may cause increased expression of the gene product in the endosperm. This is a common phenomenon in maize. Clegg and Durbin (2000) reported that most of the mutations that caused phenotypic differences were the result of transposon insertions. Another group's work on *tb1* also suggested that the extreme phenotypic variation between maize and teosinte was the result of selection for an unidentified variant in the regulatory region (Wang et al., 1999). Indeed, Buckner et al. (1996) found that the *Ins2* insertion provides an alternative transcription start site.

The observed patterns of diversity and LD among yellows at the *Y1* gene appear to be uncommon in maize (Tenaillon et al., 2001), except in the cases of postdomestication selection (Remington et al., 2001; Thornsberry et al., 2001). The variations that exist within *Ins2*-containing yellows at the *Y1* gene lie within the two microsatellite regions and the *Ins2* insertion itself. With regard to the two microsatellites, there is little difference in the heterozygosity values between yellows and whites at both SSR loci, indicating that sufficient time has passed since the origin of the haplotype for SSR diversity to recover; this observation is consistent with the rapid mutation rates of SSRs (Vigouroux et al., 2002a). However, the spectrum of allelic variants at both SSR loci is significantly different between the whites and the yellows ( $P < 0.0001$ ). Recently, Vigouroux et al. (2002b) found evidence of selection among the SSRs with reduced diversity in U.S. inbred lines of maize. Our observations indicate that high microsatellite heterozygosity may be observed in the presence of strong selection. Long-range re-

duction of diversity in yellows and fully recovered microsatellite diversity may be reconciled by assuming strong separation between yellow and white germplasm pools. This separation would have to be maintained over sufficient time to generate the observed level of diversity within yellows. Otherwise, re-combinational events would reduce the LD and equalize diversity between whites and yellows except in the immediate vicinity of the causative mutation.

The age and history of the mutational events leading to the yellow endosperm phenotype are unknown. We hypothesize that at least two independent mutational events occurred: one represented by the inbred line Lo32, having a highly divergent haplotype, and one represented by the lines carrying the *Ins2* insertion. The presence of several SNPs within *Ins2* most likely indicates that the original insertion event is relatively old. There are essentially two forms: the *Ins2* with a 2-bp deletion and an A at position 1768, completely linked to the (CCA)<sub>10</sub> microsatellite variant; and the *Ins2* with a 2-bp insertion, a G at position 1768, and several CCA size variants. An alternative yet unlikely explanation is that the two slightly different forms of *Ins2* inserted independently into the same site of the same haplotype. Given that the two most distant *Ins2* haplotypes differ by 2 bp (not counting indels) and a previous estimate of the average synonymous rate of substitution of  $6.5 \times 10^{-9}$  per year (Gaut et al., 1996), we have estimated that the two forms of the *Ins2* element diverged ~400,000 years ago. This estimate predates maize domestication, which is proposed to have occurred ~10,000 years ago (Wang et al., 1999). The possibility of gene conversion driven by one of the other *Ins* sequences present in the genome also cannot be excluded. We conclude that at least three different yellow endosperm gain-of-function alleles were incorporated into the modern germplasm. Two events incorporated slightly divergent versions of the same ancestral *Ins2* insertion, and the third was the insertion represented by the Inbred Lo32 line. The 20th century expansion in the cultivation of yellow maize in the United States may have involved a larger number of introgression events selected from the yellow alleles that were maintained in relative genetic isolation from the white germplasm in the ancestral domesticated population; however, the introgression events are postulated to have originated from predomestication mutational events.

We have demonstrated here the maintenance of large differences in diversity between white and yellow maize at the *Y1* locus across at least a 6-kb sequence. Investigation of the extent of this signature of selection in the 1-MB genomic region surrounding *Y1* is ongoing. The extended patterns of differences in sequence diversity can be attributed to selection for the yellow endosperm phenotype followed by reproductive isolation by early farmers. This was facilitated by the ease of visual phenotype determination and the fact that the presence of carotenoids in the endosperm is a single-gene trait.

## METHODS

### Plant Material

Maize (*Zea mays*) inbred lines were obtained from both the National Germplasm Research Laboratory (North Central Regional Plant Intro-

duction Station, Ames, IA) and Pioneer Hi-Bred International (Johnston, IA). All lines were selected based on their degree of unrelatedness to obtain a diverse test set of germplasm. To this end, microsatellite-based dendrograms and pedigree relationships were used in the selection of Pioneer lines, whereas public lines were selected from the National Germplasm Research Laboratory Germplasm Resources Information Network on the basis of available pedigree information. Leaves of 2-week-old plants, grown in a greenhouse, were harvested and freeze-dried.

### DNA Extraction

Leaf material was either ground in liquid nitrogen using a mortar and pestle or pulverized using steel balls and a paint shaker. DNA was extracted using the DNeasy Maxi-Prep extraction kit (Qiagen, Valencia, CA) according to the manufacturer's protocol.

### Primer Sets and PCR

Primers were designed from the published *Y1* sequence (Buckner et al., 1996) and the *PSY2* cDNA sequence using the Primer3.0 program (Rozen and Skaletsky, 1998) with the following conditions: product size between 400 and 600 bases, primer size of ~18 bases, annealing temperature of 55°C, ideal GC content of 50%, no more than three consecutive identical nucleotides, and a 2-base GC clamp (Table 3; see also supplemental data online). T3 (5'-AATTAACCCTCACTAAAGGG-3') and T7 (5'-GTAATACGACTCACTATAGGGC-3') tags were added to the 5' ends of the forward and reverse primers, respectively, to facilitate direct sequencing of the PCR products. PCR was performed using a Perkin-Elmer 9700 thermocycler under the following conditions: 95°C for 10 min; 10 cycles of 94°C for 1 min, 55°C for 1 min, and 72°C for 1 min; 35 cycles of 95°C for 30 s and 68°C for 1 min; 92°C for 7 min; and then a constant temperature of 4°C. The 25- $\mu$ L PCR mix consisted of 50 ng of DNA, 10  $\mu$ M of each primer, 1 $\times$  PE buffer II (Perkin-Elmer/Applied Biosystems, Foster City, CA), 2 mM MgCl<sub>2</sub>, 0.2 mM of each deoxynucleotide triphosphate, 5% DMSO, 1.25 units of AmpliTaq Gold (Perkin-Elmer/Applied Biosystems), and sterile water. PCR products (4  $\mu$ L) were analyzed by agarose gel electrophoresis. Products obtained from PCR using *Z. luxurians*

**Table 3.** Analyzed Segments of the *Y1* and *PSY2* Genes

Region	Sequence		
	Range	Primers <sup>a</sup>	Coverage
<i>Y1</i>			
1	140 to 564	Y1-1	5' regulatory region
2	625 to 1179	Y1-2	5' regulatory region
3	1367 to 2343	Y1-3, Y1-4, Y1-5, Y1-6	<i>Ins2</i> , CAA microsatellite, first exon
4	2438 to 2812	Y1-7	Exon 2, intron 2
5	3105 to 3836	Y1-8	Exon 3, intron 3
6	3903 to 4788	Y1-9, Y1-10, Y1-11	Introns 3, 4, and 5; exons 4, 5, and part of 6
7	4789 to 5340	Y1-12, Y1-13, Y1-14	Last exon, 3' untranslated region
<i>PSY2</i>			
1	317 to 422	PSY2-1	Nucleotide 1 corresponds to nucleotide 3191 of <i>Y1</i> , exon 3
2	570 to 705	PSY2-2	Exons 4 and 5
3	708 to 994	PSY2-3	Exons 5 and 6

<sup>a</sup> See primer sets in the supplemental data online.

11083, *Z. mays* subsp *mexicana* 11396, *Z. mays* subsp *mexicana* 12823, *Z. mays* subsp *parviglumis* 11407, *Z. perennis* 9475, and *Z. diploperennis* 9476 were cloned using the Promega pGEM-T Easy Vector System I (Promega, Madison, WI) according to the manufacturer's protocol. Sixteen individual clones were chosen for each cloned PCR product and sequenced individually. All Y1 and PSY2 primers were tested on the maize-oat addition lines (Ananiev et al., 1997) to ensure that the products were amplified from the expected chromosome.

### DNA Sequencing and Polymorphism Identification

PCR products were treated with exonuclease I and shrimp alkaline phosphatase (United States Biochemical, Cleveland, OH) and then sequenced directly from both the T3 and T7 primers using an ABI 3700 sequencer (Perkin-Elmer/Applied Biosystems). The sequencing reactions were performed using the ABI PRISM BigDye Terminator version 3.0 Cycle Sequencing Kit (Perkin-Elmer/Applied Biosystems) according to the manufacturer's protocol. The sequences were imported into Sequencher (Gene Codes Corp., Ann Arbor, MI), aligned, and scrutinized for sequence polymorphisms. Identified polymorphisms were recorded in a Microsoft Excel spreadsheet (Redmond, WA). Polymorphisms observed in only one of the test lines were disregarded unless they were seen in both the forward and reverse sequencing reads of that particular line. Polymorphisms that could not be typed were designated "N." In addition, multiple (8 to 16) sequences were obtained from cloned PCR products of the related *Zea* species. These sequences were aligned; singleton polymorphisms were disregarded (in the manner described above), and the two alleles from each of the six heterozygous maize relatives were identified.

### DNA Analysis

Descriptive statistics, including  $\pi$  (Nei, 1987), Watterson's estimator of  $\theta$  (Watterson, 1975; Nei, 1987), and Tajima's D (Tajima, 1989), were obtained using DNAsp version 3 (Rozas and Rozas, 1999). The  $r^2$  (Hill and Robertson, 1968) linkage disequilibrium (LD) graphs were constructed using data collected from DNAsp and Microsoft Excel; only single nucleotide polymorphisms (SNPs) with a rare allele frequency of  $>10\%$  were used to calculate  $r^2$ . Values of  $r^2$  were averaged over 200-bp increments and plotted against physical distance. The Y1 neighbor-joining tree (Saitou and Nei, 1987) was constructed using MEGA software (Kumar et al., 2001) with the following parameters: Kimura 2 parameter model (Kimura, 1980), both transitions and transversions included, the pair-wise deletion option, and 1000 bootstrap replicates. In addition, the Kolmogorov-Smirnov test (Smirnov, 1939) was used to compare the microsatellite allele distributions for the two phenotypic classes.

### Y1 SNP Haplotype and Extended Haplotype Homozygosity Graphs

Y1 SNP haplotype graphs (Figure 1) were constructed by first defining a region of core SNPs. The haplotype combinations within the region are referred to as the "core haplotypes," and the decay of LD with distance from the core is evaluated by examining informative SNPs (defined here as those SNPs with a rare allele frequency of  $>0.1$ ) both proximal and distal to the defined core region. Whenever a new SNP is encountered, the haplotype branches. In this way, the breakdown of the haplotypes, signifying the decay of LD in the area, can be visualized. This method is presented by Sabeti et al. (2002).

Sabeti et al. (2002) also describe extended haplotype homozygosity (EHH) as a way to measure the breakdown of LD from the core. EHH is defined as "the probability that two randomly chosen chromosomes carrying a tested core haplotype are homozygous at all SNPs for the entire interval from the core region to the distance  $x$ " (Sabeti et al., 2002),

where  $x$  is the distance between the core and each respective SNP. Homozygosity is a derivation of heterozygosity (Nei, 1973) and is described in the equation

$$\text{Homozygosity} = \sum_{i=1}^k p_i^2,$$

where  $p_i$  is the frequency of the  $i$ th allele and  $k$  is the number of alleles. The alleles in this case are the haplotypes. EHH is reported on a scale from 0 to 1, with 0 indicating that all haplotypes are different and 1 meaning that all haplotypes are the same. Relative EHH (Sabeti et al., 2002) is the ratio of the EHH at  $x$  to the EHH at the core.

### Mapping of PSY2

The position of PSY2 in the maize genome was obtained through hybridization of a 40-bp overgo probe, designed from the PSY2 EST, to all BACs that were being used in the construction of a maize physical map at DuPont. In addition, all primer sets were tested by PCR on a set of maize-oat addition lines (Ananiev et al., 1997) to confirm their chromosomal locations.

Upon request, materials integral to the findings presented in this publication will be made available in a timely manner to all investigators on similar terms for noncommercial research purposes. To obtain materials, please contact A. Rafalski, J-Antoni.Rafalski@usa.dupont.com.

### Accession Numbers

All sequences from the analyzed regions listed in Table 3 were submitted to GenBank and are identified by the following accession number ranges: Y1 region 1, AY296260 to AY296334; Y1 region 2, AY296335 to AY296408; Y1 region 3, AY296409 to AY296483; Y1 region 4, AY300233 to AY300305; Y1 region 5, AY300306 to AY300380; Y1 region 6, AY300381 to AY300455; Y1 region 7, AY300456 to AY300529; PSY2 region 1, AY300530 to AY300602; PSY2 region 2, AY300603 to AY300676; and PSY2 region 3, AY300677 to AY300751. All 11 sequences (five lines with two alleles per line and one line with only one allele) obtained from *Z. mays* relatives, in the region defined by nucleotides 1331 to 2185 of the ZMU32636 reference sequence, also were submitted (AY301027 to AY301037). The accession number for PSY2 is AY108547.1 and for PARTIAL PHYTOENE SYNTHASE2 it is AY266046.

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**Contrasting Effects of Selection on Sequence Diversity and Linkage Disequilibrium at Two  
Phytoene Synthase Loci**

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